

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor or a legal representative thereof, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am or legally represent an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled SECURE POSTAGE PAYMENT SYSTEM AND METHOD the specification of which:

[ ] is attached hereto.

PCT Filed Application Entering National Stage

[X] was described and claimed in International Application No. PCT/US97/06831 filed on April 23, 1997 and as amended on September 16, 1997.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None

I hereby claim the benefit under Title 35, United States Code, 119(e) of any United States provisional application(s) listed below:

<u>60/016,082</u>	filed <u>April 23, 1996</u>	<u>pending</u>
<u>60/017,911</u>	filed <u>April 23, 1996</u>	<u>pending</u>
<u>60/015,528</u>	filed <u>April 23, 1996</u>	<u>pending</u>
<u>60/016,760</u>	filed <u>May 3, 1996</u>	<u>pending</u>

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to

disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

/ Alex L. Yip (Reg. No. 34759)

Please address all correspondence to Alex L. Yip, Attorney at Law, P.O. Box 670425, Flushing, New York 11367, and direct all telephone calls to Alex L. Yip at (718) 297-8182.

Full name of 1st joint inventor: Robert G. Schwartz

Inventor's signature Robert G. Schwartz Date 02-Oct-97

Residence: Branford, Connecticut CT

Citizenship: United States of America

Post Office Address: 191 Linden Avenue  
Branford, Connecticut 06405

Full name of 2nd joint inventor: George M. Brookner

Inventor's signature George M. Brookner Date Oct. 2, 1997

Residence: Norwalk, Connecticut CT

Citizenship: United States of America

Post Office Address: 11 Surrey Drive  
Norwalk, Connecticut 06851

Full name of 3rd joint inventor: Fetneh Eskandari

Inventor's signature Fetneh Eskandari Date 10/2/97

Residence: Middletown, Connecticut CT

Citizenship: IRAN

Post Office Address: 166 Dove Lane  
Middletown, Connecticut 06457

Full name of 4th joint inventor: Michael A. Brown

Inventor's signature Michael A. Brown Date Oct 2, 97

Residence: Norwalk, Connecticut CT

Citizenship: United States of America

Post Office Address: 84 Field Street  
Norwalk, Connecticut 06851

Full name of 5th joint inventor: David H. Mechler

Inventor's signature David H. Mechler Date 10/2/97

Residence: Oxford, Connecticut CT

Citizenship: United States of America

Post Office Address: 34 Quaker Farms Road  
Oxford, Connecticut 06478

Full name of 6th joint inventor: Gary A. Gardner (deceased)

Full name of legal representative  
of Gary A. Gardner, deceased: Gaye D. Gardner 7-11

Legal representative's  
signature Gaye D. Gardner Date 10-16-97

Residence: Palm Desert, California CA

Citizenship: United States of America

Post Office Address: 38635 Nasturium Way  
Palm Desert, California 92211

Full name of 7th joint inventor: Douglas T. Heroy

Inventor's signature Douglas T. Heroy Date 10/30/97

Residence: Meriden, New Hampshire NH.

Citizenship: United States of America

Post Office Address: P.O. Box 398  
Meriden, New Hampshire 03770

00073200140402

06-11-1998

101 Rec'd PCT/PTO 01 DEC 1997

100701565

COVER SHEET  
ONLYDEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original (1) Fiduciary's Probate Certificate in the matter of Gary A. Gardner (deceased), and (2) Assignment.

## 1. Name of conveying party(ies):

Robert G. Schwartz  
George M. Brookner  
Fetneh Eskandari  
Michael A. Brown  
David H. Mechler  
Gary A. Gardner (deceased) & his  
estate, legally represented by  
Gaye D. Gardner  
Douglas T. Heroy

Additional name(s) of conveying  
party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Ascom Hasler Mailing Systems, Inc.Address: 19 Forest Parkway

P. O. Box 858

06484-

City: Shelton State: CT Zip: 0904

Additional name(s) & address(es)  
attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Fiduciary's Probate Certificate  
in the matter of Gary A. Gardner

Assignment

Execution Date: October 2, 16 & 30, 1997

## 4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

## A. Patent Application No. (s)

PCT/US97/06831

## B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alex L. YipAttorney at LawAddress: P.O. Box 670425City: Flushing State: NY Zip: 11367

## 6. Total number of applications and patents involved:

1

## 7. Total fees for recording two (2) documents (37 CFR 3.41):

\$ 80.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if  
paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alex L. Yip

Name of Person Signing

PTO Reg. No. 34,759

Total number of pages including cover sheet, Certificate, and Assignment:

7

A-1 Schwartz1-US

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9101 FRAME: 0746

FIDUCIARY'S PROBATE  
CERTIFICATE (PC-450) REV.  
10/85

STATE OF CONNECTICUT  
COURT OF PROBATE

Recorded:  
Page: 1

---

Court of Probate, District of Milford  
District Number 084

---

97-0151

---

In the matter of: Gary A. Gardner

---

Date of Certificate: 04/29/1997

Fiduciary's name and address:

Gaye D. Gardner, 43611 Old Harbor Drive, Bermuda Dunes, CA 92201

Position of trust: Administratrix

Date of appointment: 04/30/1997

The undersigned hereby certifies that the fiduciary of the above named estate has accepted appointment; executed probate bond according to law or has been excused by will or by statute; and is legally authorized and qualified to act as such fiduciary on said estate; said appointment being unrevoked and in full force as of the above date of certificate.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seal of this court on the above date of certificate.

COURT SEAL

*Frederic T. Whittemore*

Judge/Ass't. Clerk

NOT VALID WITHOUT COURT OF PROBATE SEAL IMPRESSED

---

As used in this document, the word fiduciary includes the plural, where the context so requires.

**A S S I G N M E N T**

For value received, We, ROBERT G. SCHWARTZ, GEORGE M. BROOKNER, citizens of the United States; FETNEH ESKANDARI, a citizen of Iran; MICHAEL A. BROWN, DAVID H. MECHLER, GARY A. GARDNER (deceased) and his estate legally represented by GAYE D. GARDNER, and DOUGLAS T. HEROY, citizens of the United States; whose post office addresses are 191 Linden Avenue, Branford, Connecticut 06405; 11 Surrey Drive, Norwalk, Connecticut 06851; 166 Dove Lane, Middletown, Connecticut 06457; 84 Field Street, Norwalk, Connecticut 06851; 34 Quaker Farms Road, Oxford, Connecticut 06478; 38635 Nasturium Way, Palm Desert, California 92211; and P.O. Box 398, Meriden, New Hampshire 03770, respectively, hereby sell, assign and transfer to Ascom Hasler Mailing Systems, Inc., a corporation of the State of Delaware, having an office at 19 Forest Parkway, P.O. Box 858, Shelton, Connecticut 06484-0904, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States, in and to certain inventions related to SECURE POSTAGE PAYMENT SYSTEM AND METHOD described in PCT International Application No. PCT/US97/06831, filed on April 23, 1997, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Ascom Hasler Mailing Systems, Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Ascom Mailing Systems, Inc. to make application for such protection in its own name and maintain such protection in the United States and in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Ascom Hasler Mailing Systems, Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Ascom Mailing Systems, Inc. in the United States and in any and all countries foreign to the United States, or to such nominees as Ascom Mailing Systems, Inc. may designate.

We agree that, when requested, we shall, without charge to Ascom Mailing Systems, Inc., sign all papers, and do all acts which may be necessary, desirable or convenient

PATENT

REEL: 9101 FRAME: 0748

in connection with said applications, patents, or other forms of protection.

Robert G. Schwartz  
Robert G. Schwartz

Date: 02-Oct-97

United States of America )

State of Connecticut )ss.:

County of Fairfield )

On this 2nd day of October, 1997,  
before me personally came Robert G. Schwartz, to me known to  
be the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.

Patricia M. Kuebler  
Notary Public  
My Commission Expires  
June 30, 1998

George M. Brookner  
George M. Brookner

Date: Oct. 2, 1997

United States of America )

State of Connecticut )ss.:

County of Fairfield )

On this 2nd day of October, 1997,  
before me personally came George M. Brookner, to me known to  
be the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.

Patricia M. Kuebler  
Notary Public  
My Commission Expires  
June 30, 1998



Fetneh Eskandari  
Fetneh Eskandari

Date: 10/2/97

United States of America )

State of Connecticut ) ss.:

County of Fairfield )

On this 2nd day of October, 1997,  
before me personally came Fetneh Eskandari, to me known to  
be the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.

Patricia M. Kukul  
Notary Public  
My Commission Expires  
June 30, 1998

Date: Oct 2, 1997

Michael A. Brown  
Michael A. Brown

United States of America )

State of Connecticut ) ss.:

County of Fairfield )

On this 2nd day of October, 1997,  
before me personally came Michael A. Brown, to me known to  
be the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.

Patricia M. Kukul  
Notary Public  
My Commission Expires  
June 30, 1998

Date: 10/2/97

David H. Mechler  
David H. Mechler

United States of America )

State of Connecticut ) ss.:

County of Fairfield )

On this 2nd day of October, 1997,  
before me personally came David H. Mechler, to me known to  
be the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.

Patricia M. Kubic  
Notary Public  
My Commission Expires  
June 30, 1998

Gary A. Gardner (deceased)  
and his estate

By Gaye D. Gardner  
Gaye D. Gardner  
Legal Representative of  
Gary A. Gardner and his  
estate

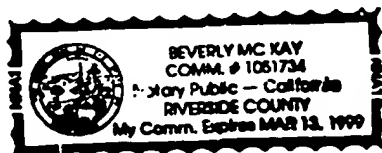
Date: 10-16-97

United States of America )

State of CALIFORNIA ) ss.:

County of Riverside )

On this 16th day of October, 1997,  
before me personally came Gaye D. Gardner, to me known to be  
the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.



Beverly McKay  
Notary Public

Date: October 30, 1997

Douglas T. Heroy  
Douglas T. Heroy

United States of America )

State of New Hampshire. ) ss.:

County of Groton )

On this 30 day of October, 1997,  
before me personally came Douglas T. Heroy, to me known to  
be the person described in and who executed the foregoing  
assignment, and acknowledged execution of the same.

Rachel A. Miller  
Notary Public

RACHEL A. MILLER  
NOTARY PUBLIC  
MY COMMISSION EXPIRES AUG. 10, 1999